



Crop Diversification Assessment in Tank Ayacut Areas of Lower Palar Sub-Basin of Chengalpattu District, Tamil Nadu, India Using Geo-Spatial Techniques

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Authors' contributions

This work was carried out in collaboration among all authors. All authors read and approved the final manuscript.

Article Information

DOI: 10.9734/IJECC/2023/v13i102744

Open Peer Review History:

This journal follows the Advanced Open Peer Review policy. Identity of the Reviewers, Editor(s) and additional Reviewers, peer review comments, different versions of the manuscript, comments of the editors, etc are available here:

<https://www.sdiarticle5.com/review-history/105259>

Original Research Article

Received: 15/06/2023

Accepted: 18/08/2023

Published: 23/08/2023

ABSTRACT

For the assessment of crop diversification in the major tank Ayacut area of the Lower Palar sub-basin in Chengalpattu district of Tamil Nadu, research works were carried out using Sentinel 2 optical data by relating with ground truth data, to identify the crops in pixel-based classification and

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further classified the crops using Random Forest machine learning algorithms. The total area estimated under crop classification was 15767.97 and 28818.17 ha respectively for the summer seasons of 2018 and 2021. Since, the summer season experiences high crop diversification. The water spread area and water volume of tanks estimated were 612.31 and 1177.89 ha and 6,39,248 and 14,06,056 m³ respectively for 2018 and 2021. The accuracy assessment of ground truth points by confusion matrix reveals an overall classification accuracy of 96.8% (2018) and 94.9 % (2021) with kappa scores of 0.96 and 0.94 respectively. The crop diversification assessments were estimated using the Simpson Index of Diversity and values of 0.63 and 0.68 were accounted for in 2018 and 2021 respectively. The diversified pattern of crops is significantly correlated with tank water availability which increased the cropping area in 2021 as confirmed by the Crop Diversification factor.

Keywords: Crop diversification; SAR data; random forest classification; water spread; Simpson index of diversity.

1. INTRODUCTION

India's overall population is estimated to surpass 1.62 billion by 2050, as a result, the challenge that must be resolved is how to use fast diminishing per capita land resources in a sustainable manner [1]. Crop diversification is the process of introducing new crops or cropping methods into an existing farm's agricultural production while taking into account the various returns from value-added crops with complementary marketing prospects. For rural people, diversification or focusing on associate activity, is important because it gives an opportunity to earn extra income and overcome poverty [2]. Addressing the diverse cropping patterns might aid in the adaptation of agricultural systems by way of enhancing potential production and resilience to water scarcity.

Choudhury et al. [3] stated that crop area diversification encourages farmers to grow multiple types of crops on the same plot of land rather than just one crop (food or non-food grains). Sharma et al. [4] conferred a successful tactic for meeting the goals of food and nutritional security, income growth, smart use of land and water resources, increasing external input usage efficiency and sustainable agricultural development and environmental improvement. Compared to monoculture farming, diverse agricultural methods yield superior crops and are more tolerant to climate change.

Crop diversification allows farmers to plant a greater variety of crops in a given region, utilizing resources for several crops while also lowering risk. To reduce the chance of crop failure due to emphasized droughts, crop diversification and the planting of a significant amount of crops are exploited in dryland areas [5].

In Tamil Nadu, tank water is mostly used as a source of irrigation. Low Earthen bunds called tanks are built along the terrain or in a valley to store rainwater. There are 39,202 tanks scattered throughout Tamil Nadu and a tank system is comprised of components viz., catchment area, main channel, sub-channels, tank bund, water spread area, sluice outlets, command area, field distributaries, and surplus weir. Tank storage structures are the best way to store rainwater, support farmers during the growing season, and be accountable for sustainable agricultural production. By performing the on-Farm Developmental activities in the command area, the resources are must be used effectively [6].

Remote sensing has shown to be an effective and useful method of acquiring crop mapping information [7]. Remote sensing encourages climate-resilient farming techniques, reduces climatic risks, improves food security, and stimulates economic development in rural areas. Crop identification through remote sensing is primarily reliant on all available imagery captured throughout the growth period and the diversified crop types possess various phenological and seasonal rhythm capabilities, as well as differing rates of growth at different seasons [8]. The spatial and temporal resolution of remote sensing imagery is continuously intensifying for making raw data of crop type maps [9]. Crop discrimination abilities were enhanced by combining optical pictures with single polarization images. The abilities of optical and SAR imageries to differentiate 16 different land cover categories, including 9 agricultural classes [10]. A strategy for agricultural area diversification has been created using maps of agricultural areas and crop rotations derived from remote sensing data (IRS P6-AWiFS and RADARSAT ScanSAR)

together with agro-physical characteristics in a GIS context [3].

Crop diversity is essential for sustainable agriculture and remote sensing helps farmers and policymakers to monitor and evaluate agricultural landscapes, discovering the possibilities for diversification by utilizing satellite imagery and cutting-edge technologies [11]. Using information from several satellites and sensors are function in the visible, near-infrared, and microwave spectrum (India's RISAT-1 SAR), the crop rotation and cropping systems were mapped using data from Advanced Wide Field Sensor (AWiFS) of RESOURCESAT-2. Crop diversification was measured using the Multiple Cropping index (MCI), Area Diversity Index (ADI), and Cultivated Land Utilization Index (CLUI) [12].

Satellite pictures are widely used to identify land use, and crop categorization has become increasingly significant in the context of precision agriculture in recent years [13]. Several machine learning methods are used to create crop categorization models from multi-spectral and multi-temporal satellite imageries used in agricultural fields to identify the current land usage classification.

Tetteh et al. [14] compared the Sentinel-1 and Sentinel-2 imageries of various agricultural landscapes for the accuracy assessment of agricultural fields to identify crops during the growing season by evaluating best feature set from S1 and S2 using supervised classification based multi resolution segmentation technique. Multi-temporal Landsat and Rapid Eye satellite datasets was used to generate yearly and multi-annual crops and Simpson index of diversity (SID) was used to reveal the pattern of spatial distribution of different crops at both the local and landscape scales [2].

In Lower Palar sub-basin area of Chengalpattu district have a major source of irrigation by PWD tanks and rice and sugarcane were major crops in Kharif and Rabi seasons and in the summer season, watermelon, groundnut, gourds and

vegetables were predominant. The objective of this study is to assess the crop classification and diversification for the summer seasons of 2018 and 2021 and correlation between tank water availability and its influence on cropping area and crop diversification.

2. MATERIALS AND METHODS

2.1 Study Area

The Chengalpattu district extends between 79° 38' E to 80° 16' E Longitude and 13° 2' N to 12° 14' N Latitude. The district is situated on the northeast coast of Tamil Nadu with total geographic area of 2945 sq. km with an elevation from 25 to 219 m above MSL and is bounded north by Chennai district, West by Kancheepuram and Tiruvannamalai districts, and south by Vilupuram district. The coastal length of 57 km is bounded in the east by Bay of Bengal and the coastal regions prevent extreme variation in the seasonal temperature. The average annual rainfall of the district is about 1400 mm and gets most of its annual seasonal rainfall from northeast monsoon during October and November.

The river Palar is one of the major rivers in the state of Tamil Nadu traversing through Chengalpattu district for a length of 54 km. The district has 528 major irrigation tanks each having ayacut area of more than 40 ha. The total area and number of tanks in the Lower Palar sub-basin is 1044.7 sq. km and 253 respectively, out of which 581 sq. km area and 143 tanks are occupies in Chengalpattu district and the study area was depicted in the Fig. 1.

2.2 Satellite Data

Sentinel 2 is a high resolution multi-spectral sensor consist of 13 spectral bands. In which 4 spectral bands (B2 490 nm, B3 560 nm, B4 665 nm and B8 842 nm) of 10 m resolution was selected (Table 1) and downloaded from Copernicus open access hub ESA (European Space Agency). The data was optimized through a series of pre-processing techniques (Fig. 2) for

Table 1. Sentinel 2 Bands and their corresponding wavelengths

| Sentinel 2 Bands | Wavelength (µm) | Resolution (m) |
|------------------|-----------------|----------------|
| Band 2 – Blue | 490 | 10 |
| Band 3 – Green | 560 | 10 |
| Band 4 – Red | 665 | 10 |
| Band - 8 VNIR | 842 | 10 |

Source: sentinels.copernicus.eu

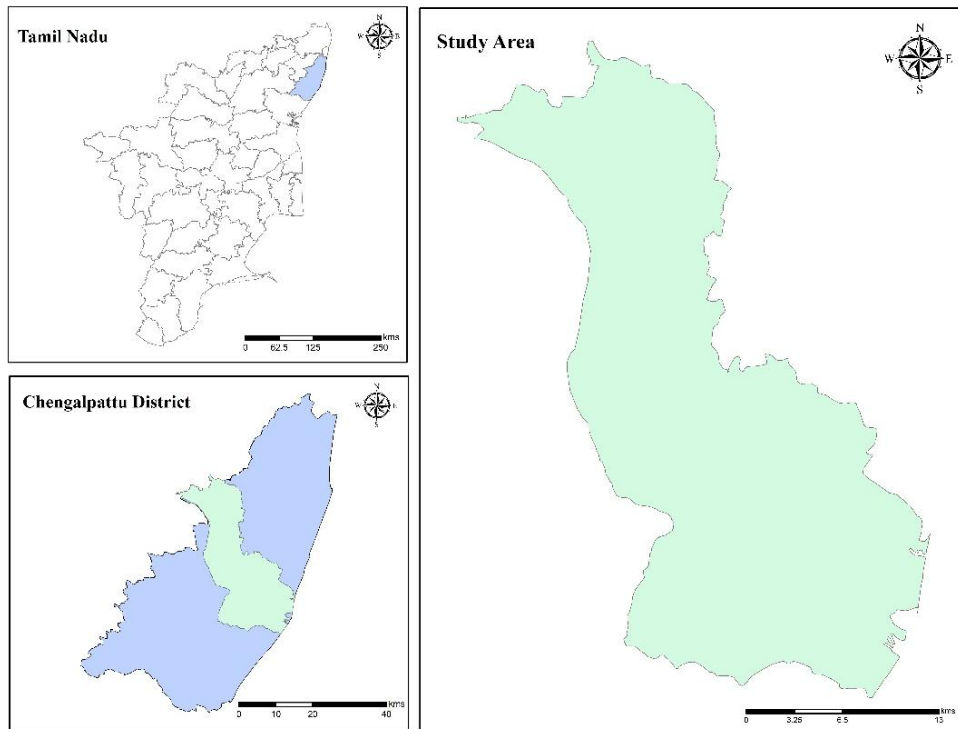


Fig. 1. Study area map of Lower Palar sub-basin of Chengalpattu district

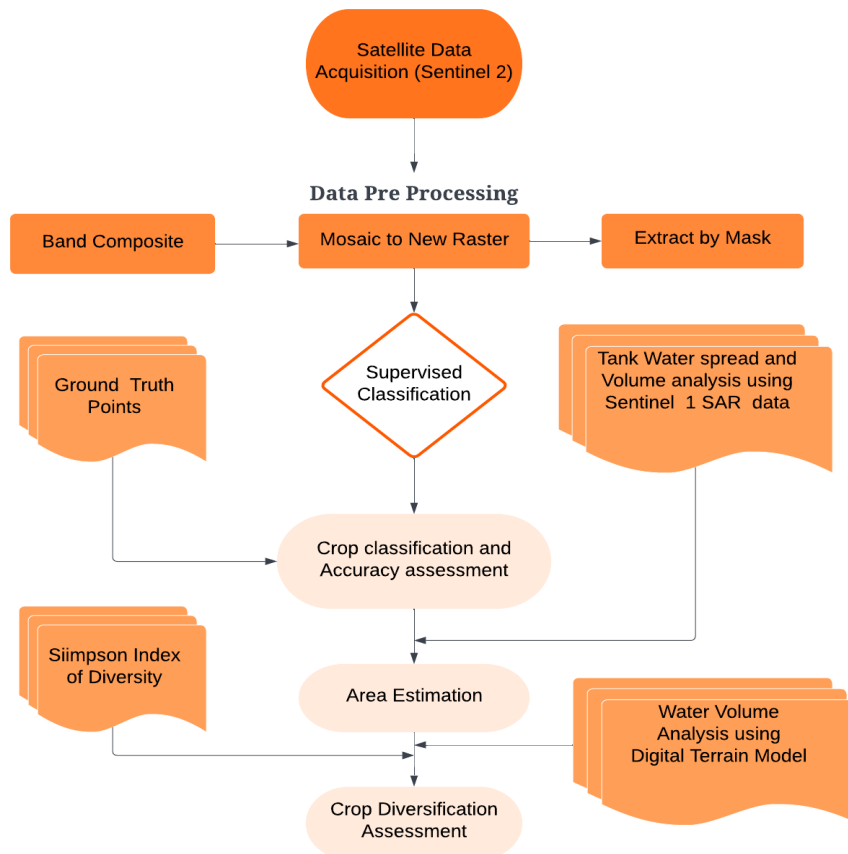


Fig. 2. Methodology for mapping of crop diversification from Sentinel 2 data

obtaining composite bands of RGB image, Mosaic to new raster to get a single image of different passes and mask to get a Sentinel 2 images of Lower Palar sub basin of Chengalpattu district. Sentinel 1 Synthetic Aperture Radar (SAR) 10 m resolution data downloaded using python script codes in Google Earth Engine with Lower Palar sub-basin tank boundary shape files to measure the water spread in SAR data using VV polarization.

2.3 Ground Truth Data Collection

During the summer (Zaid) seasons of 2018 and 2021, a total of 530 points (262 and 268 points respectively for both years) were collected using a handheld GPS with crop details in the study area through the ground truth survey for training and validation purposes.

2.4 Crop Classification Using Machine Learning Techniques

For crop classification Random Forest (RF) machine learning algorithms was used. Breiman [15] reported RF is a machine learning algorithm that builds a group of decision trees to make predictions. Each decision tree is trained on a different subset of the training data. When a new data point is presented, the random forest algorithm makes a prediction by taking the majority vote of the decision trees. RF uses a random subset of input features to split each node in the decision tree. This helps to reduce over fitting, which is a problem that can occur when a machine learning model learns the training data too well and is unable to generalize to new data. Wang et al. [16] used RF algorithm is effective for crop discrimination in the areas with complex agricultural landscapes. Viskovic et al. [13] compared classification models - linear discriminant analysis, penalized discriminant analysis and linear-based support vector machines as linear models; k-nearest neighbors and neural network as nonlinear models and random forest as tree-based model for their performance in classification. RF outperformed other models with 90 % accuracy.

2.5 Accuracy Assessment

The accuracy of the classification is evaluated using the error matrix and Kappa statistics. According to Kiefer et al. [17] the pixels of agreement and disagreement are used to generate an error matrix. The Kappa Coefficient, producer accuracy, user accuracy and total

accuracy were determined using this Error matrix [18]. For testing the classification accuracy, a random holdback process have been implemented in partitioning the datasets into training and testing.

3. RESULTS AND DISCUSSION

3.1 Crop Classification and Area Estimation

Crop classification was assessed using Machine Learning algorithm Random Forest Classifier in ArcGIS. The classification classes include barrenland, casurina, coconut, eucalyptus, fallow land, forest, groundnut, mango, paddy, settlement, sugarcane, water body, watermelon for 2018 and 2021 summer seasons (Fig. 3) and the area occupies in different classes are given in Table 2. The total cropped area estimated in Lower Palar sub basin of Chengalpattu district was 15767.97 and 28818.17 ha respectively in 2018 and 2021 summer season. Rice crop was distributed in an area of 8685.48 followed by sugarcane (3081.43 ha), groundnut (1901.97 ha), watermelon (1556.70), casuarina (476.24 ha) and mango (66.24 ha) for 2018, while in 2021, rice occupies an area of 14603.43 ha followed by watermelon (5191.68 ha), sugarcane (4499.34 ha), groundnut (2089.86 ha), mango (1032.10 ha) and casurina (1401.75 ha).

3.2 Water Spread Area Analysis Using Sentinel 1 SAR Data

3.2.1 SAR backscattering thresholding

By using negative values, which are typical of water pixels, SAR backscattering intensity was examined to map water features (Fig. 4). These features result from the potential of surface waters to serve as mirrors, reflecting nearly all incoming energy in the specular direction [19]. In contrast to most land or vegetation characteristics, are extremely low backscatter intensity [20].

Many research have used Google Earth Engine (GEE) algorithms to extract water bodies over lengthy periods of time because of its data storage and processing capabilities [20]. Composite imageries Sentinel 1 SAR of January to April, 2018 and 2021 (summer season) extracted through Google Earth Engine platform. The water spread area was estimated by multiplying the pixels with -21 dB values and the dataset's spatial resolution. The estimated water

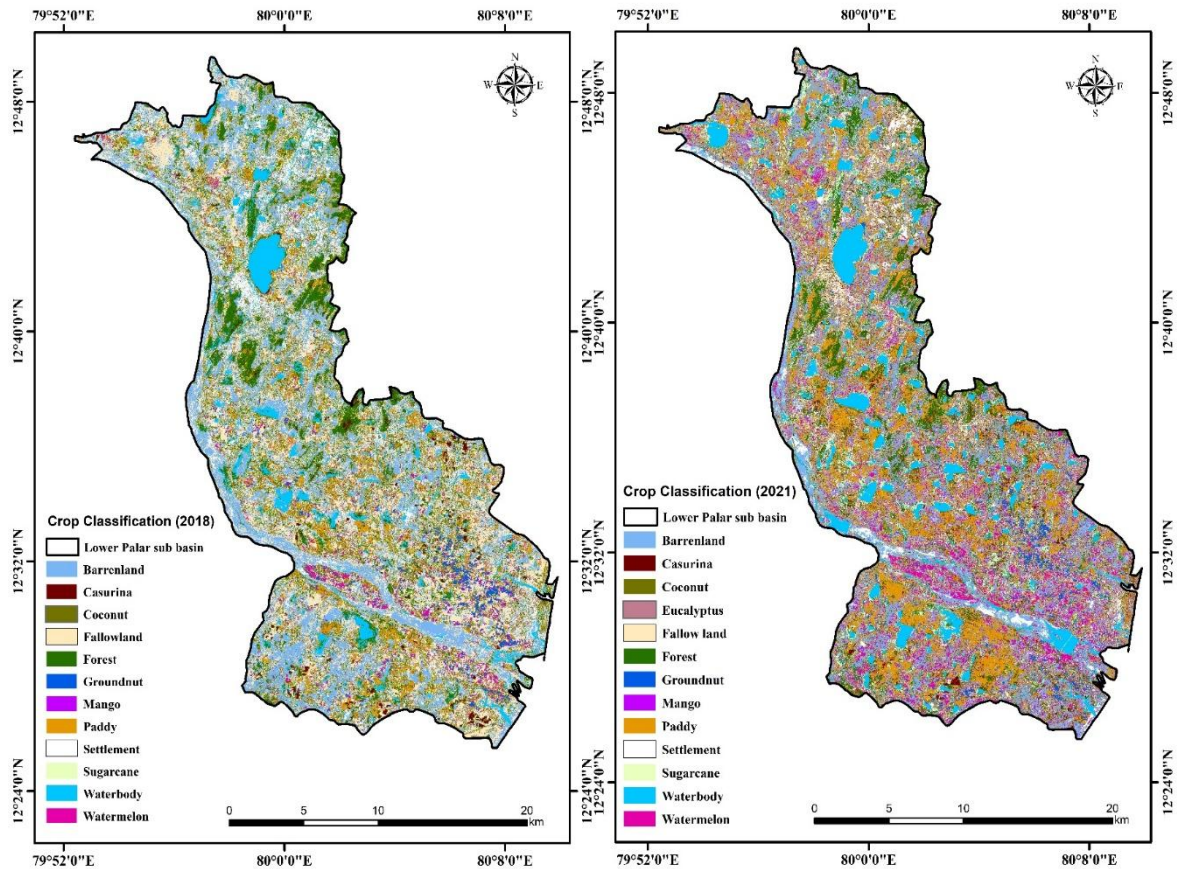


Fig. 3. Crop classification map for 2018 and 2021 summer season

Table 2. Classified Crop classes for 2018 and 2021 summer season

| S.No | Class Name | Area (ha) | |
|------|------------|-------------|-------------|
| | | Summer 2018 | Summer 2021 |
| 1 | Barrenland | 13772.70 | 12522.64 |
| 2 | Casuarina | 476.15 | 1401.75 |
| 3 | Coconut | 804.37 | 1007.74 |
| 4 | Fallowland | 12884.46 | 3202.24 |
| 5 | Forest | 7701.33 | 5307.09 |
| 6 | Groundnut | 1901.97 | 2089.86 |
| 7 | Mango | 66.24 | 1032.10 |
| 8 | Paddy | 8685.48 | 14603.43 |
| 9 | Settlement | 3434.71 | 2441.38 |
| 10 | Sugarcane | 3081.43 | 4499.34 |
| 11 | Water body | 3652.45 | 4727.04 |
| 12 | Watermelon | 1556.70 | 5191.68 |
| 13 | Eucalyptus | - | 33.81 |

spread area was 612.3 and 1177.9 ha for 2018 and 2021 summer seasons respectively (Fig. 5). The estimated water spread area was reported as maximum in the summer 2021 season compared to 2018 summer season. Similar methodology was used by Prasad et al. [21] to map maximum and minimum of water spread

area in month of October and May respectively in Ghataprabha Reservoir of Karnataka.

3.2.2 Water volume estimation

The assessment of water volume by water pixel values of tanks extracted by Sentinel 1 SAR data

and Digital Terrain Model (DTM) of 143 tanks using Arc GIS software version 10.8 (Fig. 6). The total water volume of 6,39,248 m³ and 14,06,056 m³ estimated respectively for 2018 and 2021 summer season (Jan – Apr) and the estimated water volume and DTM of Chengalpattu tank was shown in (Fig. 7). The estimated cropping

area was 15767.97 ha and 28818.17 ha in 2018 and 2021 summer season respectively. Based on results of volume estimation of tanks, the water availability highly influenced by the increasing cropping area by 13,050.2 ha in 2021 compared to 2018 for irrigation purposes of crops in ayacut areas of study area.

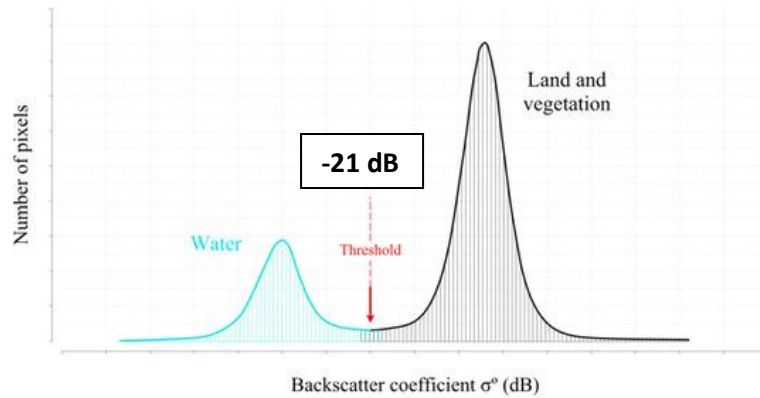


Fig. 4. SAR Backscattering for Water Detection

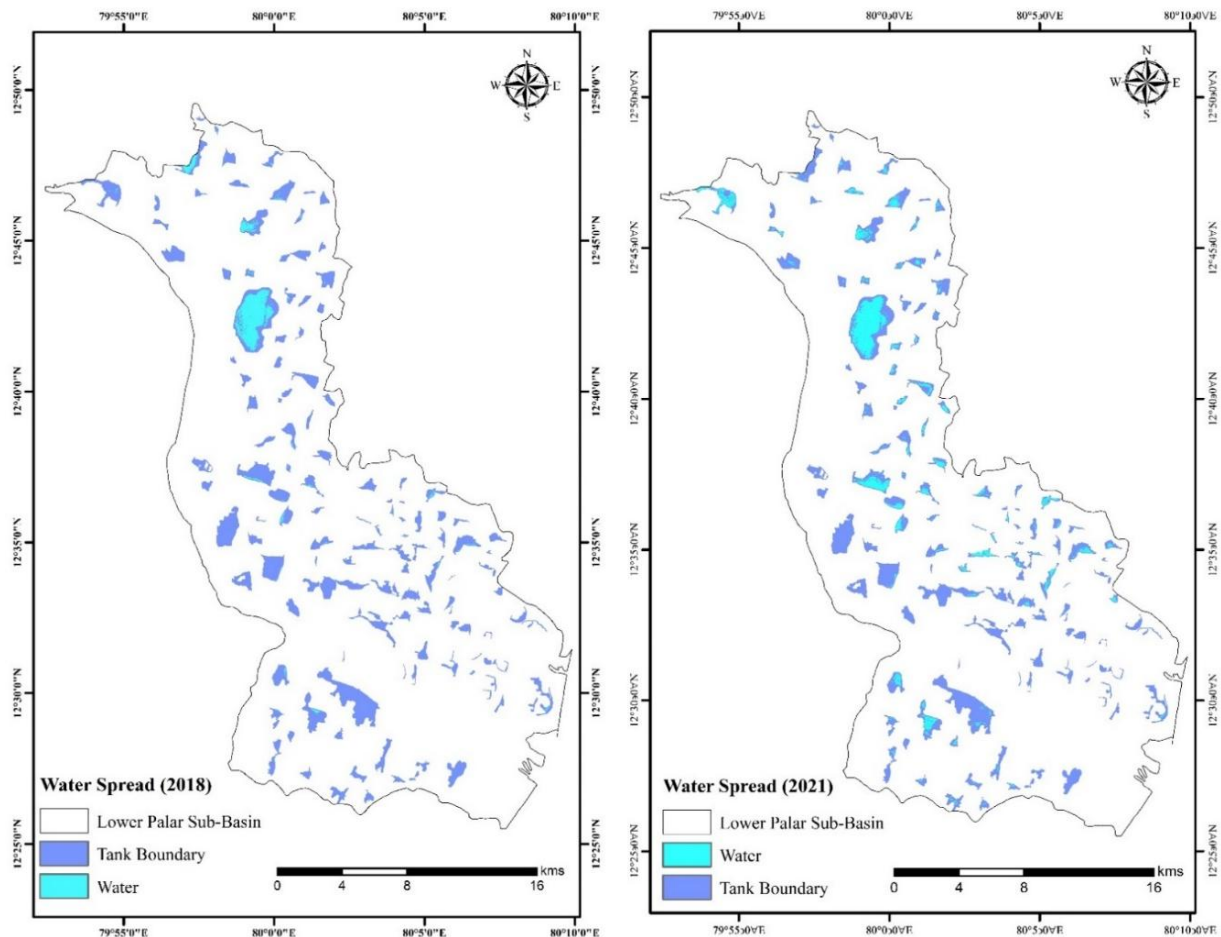


Fig. 5. Water spread area Map for 2018 and 2021 summer season

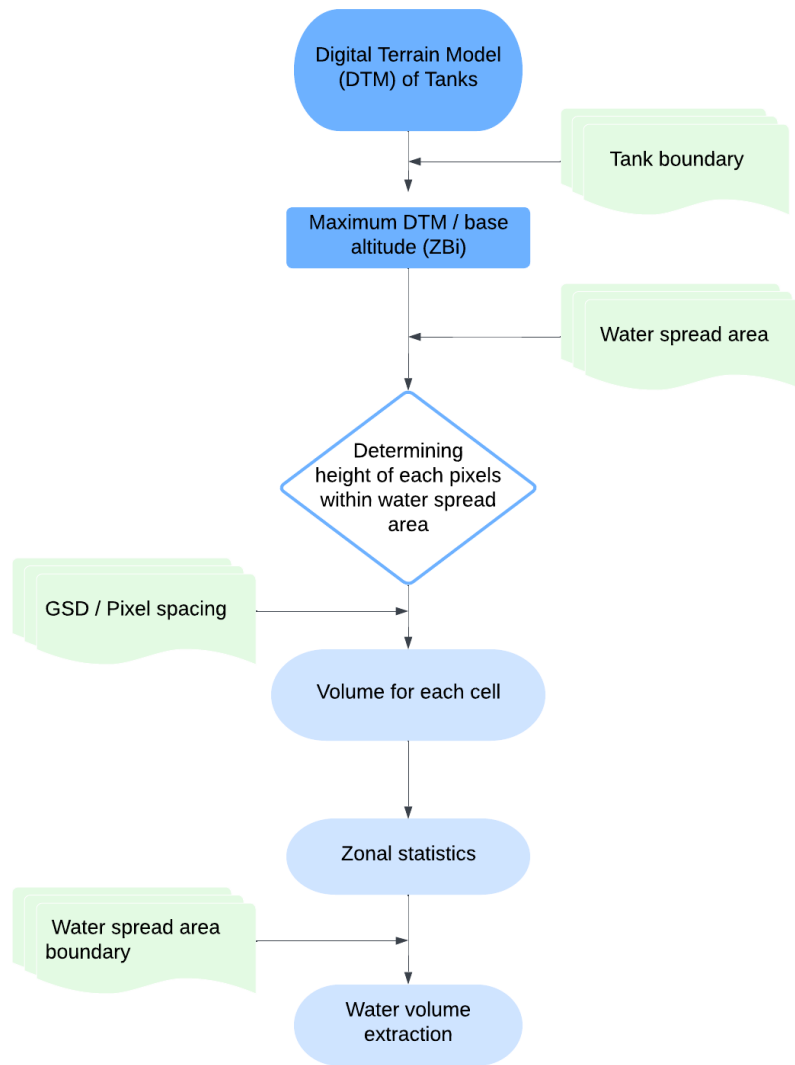


Fig. 6. Methodology for Tank Water volume estimation using SAR data and DTM

3.3 Crop Diversity Assessment

Panigrahy et al. [22] generated the Area Diversity Index for Kharif and Rabi seasons as well as for the entire growing cycle of 2004–2005 and compared with already available cropping seasons of 1998–1999 to observe the change in the diversity of crops across the periods. Different Indexes are used for estimating the Crop Diversification over a season.

3.3.1 Simpson index of diversity

The Simpson Index of Diversity (Simpson, 1949) is a popular ecological indicator that measures the likelihood that the next observed plant or animal belongs to a different species. It reflects the abundance and uniformity of species within a particular region [23,2].

$$SID = 1 - \frac{\sum_{m=1}^M n(n-1)}{N(N-1)}$$

M is the number of classes, N is the area that is being observed, and n is the area of one class (Crop). Values around 1 implies a more diversified and heterogeneous cropping pattern, whereas a value of 0 implies monoculture in contrast. Based on classified crop areas of both summer seasons (2018 and 2021) the major agricultural crop areas viz., rice, groundnut, watermelon, sugarcane, mango and casuarina were taken for assessment of crop diversity using Simpson Index of Diversity and crop diversity values of 0.63 and 0.68 were obtained respectively for both seasons (Table 3).

The decreasing the fallow land area in 2021 (3202.24 ha) as compared to 2018 (12884.46 ha), resulting higher crop diversification (0.68)

due to higher tank water availability (14,06,056.05 m³) which ensures the use of irrigation water to middle and distal ends of ayacut and subsequently enhances the cropping area of rice, sugarcane, groundnut, watermelon, Mango and casuarina crops.

The results are mirrored to Conrad et al. [24] generated yearly crop maps with an overall accuracy ranged from 0.84 to 0.86, and estimated SID values varied between 0.1 and

0.85 and the results revealed that the higher crop diversity occurred in the more distal parts of irrigation system and sparsely settled areas and patches of diversified crops area with monocultures in surrounding areas. Dimov et al. [2] assessed crop diversity in summer crop fields, garden and orchard plots in Fergana Valley in Uzbekistan with mean SID value of 0.65 was noticed and concluded that these areas are having a relatively high cropping system diversity.

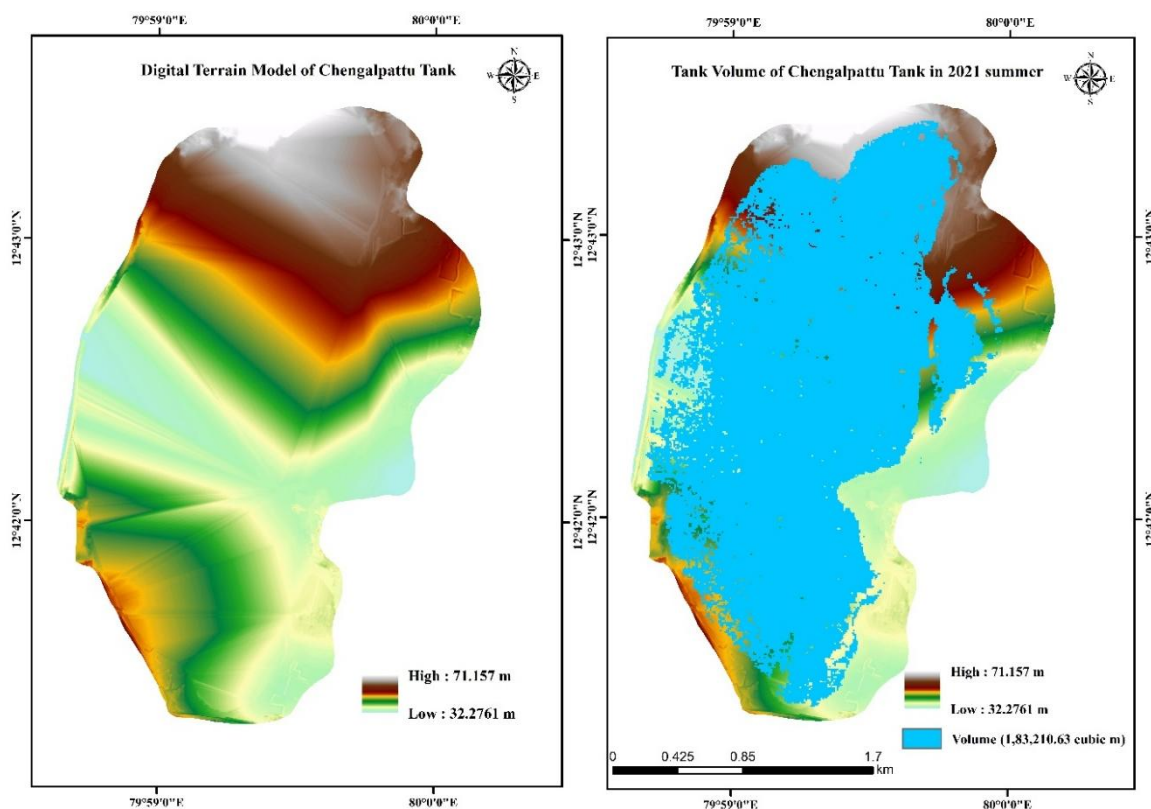


Fig. 7. DTM and estimated water volume of Chengalpattu tank in 2021 summer

Table 3. Simpson Index of Diversity (SID) and Tank water spread

| S.No | Crop | Area (ha) | |
|------------------------------------|------------|--------------------|---------------------|
| | | Summer 2018 | Summer 2021 |
| 1. | Paddy | 8685.48 | 14603.43 |
| 2. | Sugarcane | 3081.43 | 4499.34 |
| 3. | Groundnut | 1901.97 | 2089.86 |
| 4. | Watermelon | 1556.70 | 5191.68 |
| 5. | Mango | 66.24 | 1032.10 |
| 6. | Casuarina | 476.15 | 1401.75 |
| Total | | 15767.97 | 28818.17 |
| Fallow land | | 12884.46 | 3202.24 |
| Tank Water Spread | | 612.31 | 1177.89 |
| Tank Volume (m³) | | 6,39,247.95 | 14,06,056.05 |
| Simpson Index of Diversity | | 0.63 | 0.68 |

Table 4. Confusion matrix for accuracy assessment for 2018 summer season

Considering the implementation of the random holdback procedure, there will be an evident improper class representation for the majority and minority classes, besides the lack of spatial dispersion of the training data over the study area. Hence, some discrepancies may occur in classification even if the user and producer accuracies may be higher.

| Class | Barren land | Casuarina | Coconut | Fallow land | Forest | Groundnut | Mango | Paddy | Settlement | Sugarcane | Water body | Watermelon | Total | User Accuracy |
|--------------------------|-------------|-----------|---------|-------------|--------|-----------|-------|-------|------------|-----------|------------|------------|-------|---------------|
| Barren land | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 | 1 |
| Casuarina | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 5 | 1 |
| Coconut | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 1 |
| Fallow land | 0 | 0 | 0 | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 | 1 |
| Forest | 0 | 1 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 0.88 |
| Groundnut | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 1 |
| Mango | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| Paddy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 0 | 0 | 0 | 1 | 13 | 0.92 |
| Settlement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 0 | 0 | 9 | 1 |
| Sugarcane | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 4 | 1 |
| Water body | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 9 | 0 | 10 | 0.9 |
| Watermelon | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | 10 | 1 |
| Total | 13 | 6 | 4 | 13 | 7 | 4 | 1 | 13 | 9 | 4 | 9 | 11 | 91 | |
| Producer Accuracy | 1 | 0.83 | 1 | 1 | 1 | 1 | 1 | 0.92 | 1 | 1 | 1 | 0.91 | | 96.8 |

Table 5. Confusion Matrix for accuracy assessment for 2021 summer season

| Class | Barren land | Casuarina | Coconut | Eucalyptus | Fallow land | Forest | Groundnut | Mango | Paddy | Settlement | Sugarcane | Water body | Watermelon | Total | User Accuracy |
|--------------------------|-------------|-----------|---------|------------|-------------|--------|-----------|-------|-------|------------|-----------|------------|------------|-------|---------------|
| Barren land | 15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 16 | 0.94 |
| Casuarina | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 1 |
| Coconut | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 1 |
| Eucalyptus | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| Fallow land | 0 | 0 | 0 | 0 | 7 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 9 | 0.78 |
| Forest | 0 | 0 | 0 | 0 | 0 | 9 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 11 | 0.82 |
| Groundnut | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 0 | 0 | 0 | 0 | 0 | 0 | 7 | 1 |
| Mango | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 0 | 6 | 1 |
| Paddy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 20 | 0 | 0 | 0 | 0 | 20 | 1 |
| Settlement | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 4 | 1 |
| Sugarcane | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | 0 | 0 | 8 | 1 |
| Water body | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 10 | 0 | 11 | 0.91 |
| Watermelon | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 12 | 12 | 1 |
| Total | 15 | 7 | 6 | 1 | 7 | 9 | 7 | 6 | 24 | 5 | 9 | 10 | 12 | 112 | |
| Producer Accuracy | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0.83 | 0.80 | 0.89 | 1 | 1 | | 94.9 |

3.4 Accuracy Assessment

Crop and other non-crop validation points collected during ground truth with the classified output of crop classes. The typical confusion matrix was used to assess the accuracy level and a total accuracy of 96.8 and 94.9 % in 2018 and 2021 was obtained in summer seasons respectively. The Kappa index of 0.96 and 0.94 was attained, which shows a field level good accuracy value (Tables 4 & 5). The findings were similar to Sentinel-2 satellite imagery was used to map wetlands [25], with a kappa score of 0.95 and an overall accuracy of 99%. Although [26] reported that cropland mapping under three distinct climatic conditions produced accuracy ranging from 78.08 to 96.19% using high-resolution satellite data.

4. CONCLUSION

The Sentinel 2 satellite data and machine learning approach was used to map the Crop classification and Sentinel 1 (SAR) was used to extract the Tank water spread area and Tank water availability influencing cropping areas and Crop diversification in Lower Palar region of Chengalpattu District. The area of different crop was spatially estimated as 15767.97 and 28818.17 ha for the year 2018 and 2021 of summer season respectively. The overall accuracy attained for 2018 summer season was 96.8 *per cent* with a kappa index of 0.96 while in 2021 summer season 94.9 *per cent* with a kappa index of 0.94. The estimated area is found to be in good agreement with variety of crops. The estimated Crop Diversification (Simpson Index of Diversity) was 0.63 and 0.68 which shows the significant impact of Tank water availability which is highly influenced the cropping area of different agricultural crops and Crop Diversification in 2021 summer season as compared to 2018. The assessment of the crop diversity helps farmers and policymakers to monitor and evaluate agricultural landscapes, crop health, assisting in decision-making to utilise the land and resources.

ACKNOWLEDGEMENT

The authors of this work, immense thanks to The Professor and Head, Staff members and Colleagues of the Department of Remote Sensing and GIS, Tamil Nadu Agricultural University, Coimbatore for their constant support and notable suggestions for entire research work successfully .

FINANCIAL SUPPORT

This research work was funded by World Bank under the scheme of Tamil Nadu Irrigated Agriculture Modernization Project (TNIAMP), Phase I for Lower Palar sub basin.

COMPETING INTERESTS

Authors have declared that no competing interests exist.

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