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# Lateral Pectus Carinatum and Non-Surgical Treatment: A Case Report

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Authors' contributions

This work was carried out in collaboration among all authors. All authors read and approved the final manuscript.

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# Case Report

#### **ABSTRACT**

**Aims:** The objective of the present work is to describe a congenital bone deformity known as Pectus Carinatum, being a prominence generated in the anterior region of the chest wall, in the regions of the manubrium of the sternum.

**Presentation of Case:** The first contact with the patient was in February 2023 and ended in September 2023. The study is of a descriptive observational nature and data collection was carried out through interviews and photographic records, the patient was approached peacefully, and he agreed to inform his clinical case.

**Discussion:** This study is based on a case report of a male patient, 16 years old, from Tocantins, with the condition of Lateral Pectus Carinatum which performed the non-invasive dynamic chest compression procedure (DTC) in the Federal District. Thus, together with the doctor responsible for the case, the patient's legal representatives authorized the study through the medical records of the consultations over a period of 1 year. After the aforementioned period of treatment, correctly, it showed great progress in decreasing prominence, without the need for an invasive procedure.

**Conclusion:** This study aims to better understand the anatomical deformity and verify possible diagnostic and prognostic methods, in order to guarantee a better quality of life for the patient.

Keywords: Pectus carinatum; orthopedics; bone deformity.

#### 1. INTRODUCTION

Pectus Carinatum (PC) or "keel chest" is a congenital bone deformity resulting from the prominence generated in the anterior region of the chest wall, specifically in the regions of the manubrium or body of the sternum bone, the latter being the one with the highest incidence. This condition is mostly asymptomatic, and in most of its presentations it generates simple complications such as aesthetic discomfort, however when symptomatic there is increased sensitivity at the site, decreased chest expansion and in more severe cases dyspnea and tachypnea on exertion [1]. The prognosis of such a condition is good considering that, in the long term, adverse effects on the patient's health are rare and the deformity can be corrected when desired, either by minimally invasive surgical procedures or by correction through restriction by external orthoses [2].

The diagnosis of PC is generally carried out during adolescence, because although this deformity presents itself from the first moments of childhood, recognition becomes easier in this age group, in which the individual experiences greater growth. Its incidence may be related to other conditions such as Marfan Syndrome and Morauio Svndrome (One of the mucopolysaccharidoses, resulting from genetic transmission, which occurs in the absence of Ivsosomal enzymes degrade that mucopolysaccharides, molecules that play an important role in the structural function of tissues, cellular interactions and regulation of cellular metabolism. In the case of Morguio's syndrome, type IV mucopolysaccharidosis is found, with deposition and accumulation of these molecules, resulting in phenotypes of significant anatomical structural changes.) making it special in terms of treatment approach in some cases. In Brazil, the an incidence of deformity has 1:1000 adolescents, it is estimated that worldwide it may be present in up to 5% of the population, being more common in males in proportions of 4:1. It is important to highlight that in clinical interest the phenomenon of Pectus Excavatum (PE), which in turn presents itself as a retraction in the anterior region of the thorax, has attracted more

attention within the class of chest wall deformities with a predominance variable between 3:1 and 13:1 [1].

As for treatments, they can be surgical or not, surgical treatments can be further divided into resective and non-resective. As a first choice in cases where the sternum is rigid with little or no articulation and associated with malformations. The open resective surgical technique consists of resection of the rib cartilages preservation of the perichondrium, associated with sternal osteotomies fixed with bars that can be removed later [2]. As for the minimally invasive surgical technique, the option is for thoracoscopic resection to occur. by multiple short chondral and segmental rib resections under the intrathoracic aspect, while there is also extrathoracic resective thoracoscopy that uses a subpectoral dissection of the pectoral muscles performed with carbon dioxide, which after this, will give freedom for a small incision of 2.9 - 4.7 cm and portal holes. Although advantageous, surgical procedures can cause keloids, damage to soft tissues and in some cases excessive blood loss during the operation [3].

Still among surgical treatments, non-resective thoracoscopy performed through incisions lateral to the chest, generates better aesthetics, less blood loss and reduced operative time. In more compliant cases of PC, it is now understood that aiming at non-surgical choice. intervention, is orthosis therapy, which consists of the use of a dynamic compression system which comprises an initial correction pressure measured through the rigidity of the rib cage, which is necessary to correct the deformity, while at each return to the doctor, adjustments are made to the treatment pressure, which is the pressure exerted continuously [1]. It is important to highlight that there is still no consensus on the minimum number of hours of use of the device and whether it can be used on adult individuals. given their complete development and rigidity of the rib cage [3]. That said, given the incidence of the disorder as well as the presentation of treatments that are not yet consensual regarding the time spent using orthoses, it is necessary to share data in the individualized treatment of the

condition and the search for better approaches to treatment. Despite this, the study by Haje et al. experienced the compressor presented evidence of efficacy and treatment time estimation, taking into consideration the severity of each PC. The dynamic chest compression orthosis is used in the treatment of localized pectus excavatum. It consists of two anterior padded plates supported on the lower costal margins and other padded plates in the dorsal region. After the treatment is correctly followed, with at least 24 months of use, the orthosis is gradually removed. The patient's commitment to wearing the orthosis emphasized for the accuracy of the effectiveness of this treatment [2].

# 2. PRESENTATION OF CASE

Male patient, 16 years old, white, single, born in Tocantins, was admitted to a Secondary Care Unit specialized in Orthopedics in January 2012, reporting an anatomical deformity in the anterior chest wall. After a thorough analysis carried out by the doctor, he was referred to a PC specialist in the Federal District – DF, Brazil. There's no family history.

# 2.1 Physical Examination

Good general condition, declared absence of associated cardiorespiratory pathologies, such as asthma and chronic bronchitis. However, when carrying out sporting activities he experiences palpitations and dyspnea. No agenesis of upper limbs was identified. The abnormality in the upper limbs was not identified.

# 2.2 Diagnostic Hypothesis

The diagnostic hypothesis of Lateral PC was made on May 15, 2012.

#### 2.3 Conduct

The patient was advised to undergo physiotherapy and physical exercises, especially swimming, to help with the prognosis. The X-ray confirmed the need for the Dynamic Chest Compressor (DTC), (Fig. 1) and treatment is expected to be completed in two years, that is, in May 2014.

#### 2.4 Evolution

His treatment was scheduled to end in May 2014. However, unfortunately, the doctor responsible died. Therefore, he was unable to complete the therapeutic intervention within the previously stipulated period. The patient used the CDT during the age range consisting of childhood and adolescence (06 to 16 years of age). He reported aesthetic and emotional implications, being introverted and withdrawn, not practicing physical exercises that expose the chest. And he didn't seek psychological support. After six years, in November 2020 he found a new specialist in PC to continue the prognosis. During this period, he developed physical complications, such as increased anatomical deformity, exacerbated thoracic kyphosis (ICD: m400 M954), mild signs of scoliosis (ICD-10 M41) and shortening of the posterior chain (Fig. 2). The patient stated that in adolescence the signs of the clinical entity became more evident.

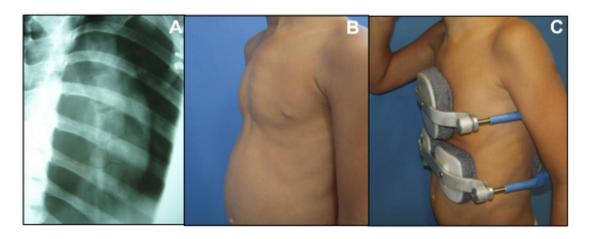


Fig.1. Evolution before and after the use of DTC Legend: (A) X-ray of the anterior chest wall; (B) Before using DTC; (C) After placing the DTC.

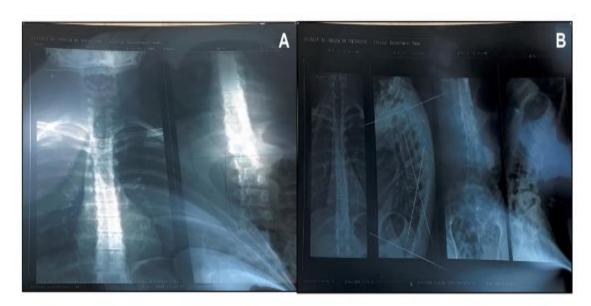


Fig. 2. Radiological evaluation of the case Legend: A) Scoliosis X-ray; (B) X-ray of thoracic kyphosis

In relation to social life, during childhood it was easier, considering that his colleagues did not show a critical eye, on the contrary, the children supported him during the treatment, thus being able to use the equipment for 84 hours a week. However, during adolescence, social stigma is exacerbated, reducing the time used for DTC to 3 hours per week. In 2021, a period of pandemic and social isolation, the patient made great progress in his treatment, as he was able to use the device at home for around 126 hours a week. After the pandemic, the return to in-person classes reduced the time to 3 hours per week. Currently, the use of type 1 and 2 DTC, physiotherapy, stretching, going to the gym three times a week, topical use of creams to alleviate skin irritations due to prolonged use of the equipment is recommended. The patient denies using the device in public and environments, uses the DTC about 3 hours a week, goes to the gym four times a week and has never gone swimming. He reports that these are small details to align the anterior chest wall and the deformity does not bother him as much as it did in the past.

### 3. DISCUSSION

The etiology of PC is not fully known, but the literature suggests that its occurrence is related to factors acquired throughout the patient's life or congenital aspects [4]. Studies show that congenital factors are associated with: family history [5], presence of the deformity at birth, congenital heart disease and hand agenesis [6].

However, experimental research in rats has shown that variability in the shape of the thorax is generated due to contiguous lesions [7].

PC does not receive the same attention as PE, and its treatment is still unknown to many doctors, such as pulmonologists, pediatricians and thoracic surgeons, and lack of knowledge of the correct procedures leads to patients not being referred. According to Migliavacca [8], this fact is due to the predominance of 5 times more PE in relation to PC. The prevalence of this change most frequently affects whites and Latinos and is uncommonly found in blacks and Asians. Coelho [2] in his study highlights the incidence of 0.6 to 0.97 for every 1000 adolescents, being more frequent in men.

The patient covered in the present study does not have any cardiorespiratory disease, but reported palpitations and dyspnea performed sports activities. The cause of its occurrence can be congenital or acquired, as PC is rarely noticed at birth, it is believed that its occurrence is more acquired than congenital. PC can occur in three ways: inferior, superior and lateral (situation of the patient in this report). Even though surgical modality is the most used method in the last 50 years, Martinez-Ferro [9] point out other methodologies for treatment, such as the use of the Nuss bar, introduced through 2-3cm incisions passing through the highest point of the protuberance to the other side, to put pressure on bone formation. However, due to the great surgical risks, this

treatment method was reserved only for severe cases.

In the study of Maddali [3], it was shown that PC can occur after medical action in pediatric heart surgery. One of the characteristics addressed by this patient was the stigma when using the DTC, as its use decreased considerably after the post-pandemic period, when he returned to attending the educational institution. Coelho [2] mentions that the psychological implications lead patients to be introverted, withdrawn and have an inferiority complex, which makes it difficult to accept treatment and guarantee satisfactory results.

In the study by Haje [10], it was shown that the flexibility associated with regular use of the device generated a positive result in 83% of patients, with p=0.005. With the support of the literature providing evidence of the great effectiveness of the use of DTC, in addition to having minimal negative effects, this method long-term patient adherence treatment in order to be effective. However, [11,12] mentions that prolonged, Wahba continuous use ends up being ineffective as it causes discomfort to the patient, such as pain, social anxiety and skin problems. Therefore, during his study of the prolonged use of DTC, it was found that intense use of this technique has the same effectiveness as using it for a shorter period of time.

Therefore, the patient in this report did not need to undergo an invasive procedure such as surgery that could expose risks, proving that the non-surgical technique has a similar cosmetic efficacy. Therefore, patients should undergo the non-surgical technique first, and only opt for it in cases that do not respond to DTC. Otherwise, the DTC allowed orthopedic and aesthetic treatment to be carried out without any surgical procedure.

# 5. CONCLUSION

Therefore, the patient was successful in reducing the prominence in the chest using a non-invasive method such as DTC, but it was difficult to use due to aesthetics and psychological factors of living in society. During the pandemic, due to social isolation, the patient used the non-invasive method for a longer period, obtaining a better result during that time. Furthermore, the DTC allowed orthopedic and aesthetic treatment to be carried out without any surgical procedure.

Currently, he still uses DTC, but for a reduced period due to social factors, hence the importance of this work, involving not only the treatment and instigating the search for alternatives that aim to improve treatment and provide greater patient comfort.

#### ETHICAL APPROVAL AND CONSENT

This research was submitted for approval by the Research Ethics Committee in Brazil, in accordance with CNS resolution 466/2012, as it is research involving human beings and which, directly or indirectly, will involve individuals in their entirety or parts, including the management of information and materials. To this end, the study was approved, with Opinion Number: 6.410.603 and CAAE: 73100723.8.0000.5518, the Free and Informed Consent Form was collected, informing the patient's authorization, in addition to the authorization of the health institution.

#### **ACKNOWLEDGEMENTS**

The present study is characterized by being observational and descriptive, which aims to describe and detail a case of Lateral Pectus approached Carinatum.The patient was peacefully, with a simple interview using a questionnaire prepared by the authors (APPENDIX B), in addition to collecting photographic data and medical reports. The approach was carried out in the state of Tocantins in February, the interview was carried out in an isolated and comfortable environment for the patient, through targeted questions prepared by the researchers (APPENDIX B). The individual is male, 16 years old, has Lateral Pectus Carinatum and is undergoing orthopedic treatment.

#### **COMPETING INTERESTS**

Authors have declared that no competing interests exist.

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# **ANNEX A - COVER SHEET**

	FOLHA DE ROST	O PARA PESQUISA ENVO	LVENDO SERES HUMANOS
Projeto de Pesquisa:  Relato de caso: Pectus carina	tum lateral		
2. Número de Participantes da	Pesquisa: 1		
3. Área Temática:			
Área do Conhecimento: Grande Área 2, Ciências Bioló	gicas , Grande Área 4. Ciência	s da Saŭde	
PESQUISADOR RESPO	ONSÁVEL		
5. Nome: Mateus Silva Santos			
6. CPF: 030.113.242-98	7. Endereço (Rua, RUA VALDIVINO		IERO: 108 SANTANA DO ARAGUAIA PARA 68560000
8. Nacionalidade: BRASILEIRO	9. Telefone: 94984036094	10. Outro Telefone:	11. Email: biomateus07@outlook.com
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Data: 04  Data: 04  INSTITUIÇÃO PROPON 12. Nome: Fundação UNIRG/ Faculdade I 15. Telefone: (63) 3612-7670  Termo de Compromisso (do re Complementares e como esta I Responsável: Javaco Cargo/Função: DIRET I	rà parte integrante da docume  / 0.5 / 2023  PENTE  13. CNPJ: 01.210.831  16. Outro Telefone sponsável pela instituição ): De instituição tem condições para	nto acima. Tenho ciência que ntação do mesmo.  20001-06  Esclaro que conheço e cumprir o desenvolvimento deste pro	essa folha será anexada ao projeto devidamente assini  MOTIUS Silva Sonto  Assinatura  14. Unidade/Orgão:  19. os requisitos da Resolução CNS 466/12 e suas jeto, autorizo sua execução.  32227071-20

# ANNEX B - AUTHORIZATION TERMS OF THE PERSON RESPONSIBLE FOR THE RESEARCH LOCATION



### AUTORIZAÇÃO PARA PESOUISA

Ao Centro Clinico Oithopectus

Prezado Gestor,

Dr. Davi P. Haje

Eu, Maria Helena Nolasco Marques, acadêmica do curso de Medicina da Universidade de Gurupi UnirG campus Paraíso do Tocantins-TO, sob a orientação da ProP. Me. Mateus Silva Santos, solicito autorização para a realização da pesquisa intitulada: RELATO DE CASO: PECTUS CARINATUM LATERAL.

Esta pesquisa será submetida ao Comitê de Ética e Pesquisa (CEP) da UnirG via Plataforma Brasil e tem como objetivo entender o tratamento mais eficiente e não invasivo para Pectus Carinatum Lateral. Os pesquisadores realizaram a coleta de dados através de uma entrevista realizada com o paciente, após a assinatura do Termo de Comprometimento Livre e Esclarecido.

Segue em anexo o projeto e o TCLE assinado,

Desde já agradeço a presteza de sempre!

Paraíso do Tocantins-TO, 18 de Maio de 2023.

Maria Helma Nolasco Margues Maria Helena Nolasco Marques

Acadêmica de Medicina

Mateus Silva Santos

Orientador

# RESPOSTA:

" Declaro que conheço os objetivos da pesquisa descrita acima e autorizo a sua execução no âmbito solicitado ."

Nome/Assinatura e carimbo

CRM-OF 11077
Ortopodia e Traumatologia
Ortopodia Padiatrica e Pe Afulto

#### APPENDIX A - FREE AND INFORMED CONSENT FORM

### Termo de Consentimento Livre eEsclarecido (TCLE)

"O TCLE respeita a pessoa e sua autonomia, permitindo ao indivíduo decidir se quer e como quer contribuir para a pesquisa". (Res. nº. 466/12).

Prezado (a) Senhor (a), O(s) aluno(s) do curso de Medicina da UnirG- Campûs Paraíso do Tocantins, abaixo identificado (s), solicita(m) sua colaboração no sentido de que o senhor faça parte de uma pesquisa que será desenvolvida sob a minha supervisão como pesquisador (a) responsável. Junto com este convite para sua participação voluntária estão explicados a seguir todos os detalhes sobre o trabalho que será desenvolvido para que o (a) senhor (a) entenda sem dificuldades e sem dúvidas os seguintes aspectos:

Título: Relato de Caso: Pectus Carinatum Lateral.

Pesquisador responsável: Mateus Silva Santos.

Pesquisador (es/as) colaborador (es/as): Maria Helena Nolasco Marques, Gabriel Rodrigues Brito, Gabriela Cardoso Maciel, Lukas Oliveira Coelho.

Objetivo do estudo: Compreender melhor a deformidade anatômica e verificar possíveis métodos de diagnóstico e prognóstico, a fim de garantir uma melhor qualidade de vida do paciente.

O estudo se justifica por: auxiliar a compreensão em relação ao Pectus Carinatum e suas consequências para a rotina dos pacientes. Vale ressaltar que existem poucas publicações científicas acerca dessa variação anatômica, portanto o relato de caso contribui para a maior investigação de casos clínicos semelhantes.

Os possíveis riscos e desconfortos que a pesquisa poderá trazer a(ao) senhor(a) são: uma entrevista com perguntas diretas, com o objetivo de buscar um relato mais verídico e científico dos fatos, sendo que a entrevista pode gerar constrangimento, pois se realiza algumas perguntas pessoais. Os benefícios que o(a) senhor(a) deverá esperar com a sua participação, mesmo que não diretamente são: entender melhor o seu caso clínico e as possíveis formas de tratamento.

Enquanto durar a pesquisa, e sempre que necessário, o(a) senhor(a) será esclarecido(a) sobre cada uma das etapas do estudo telefonando ou nos procurando a qualquer momento durante as 24 horas do dia nos telefones e/ou endereços abaixo descritos, onde nós estaremos disponíveis para quaisquer esclarecimentos. O (a) senhor(a) é absolutamente livre para, a qualquer momento, desistir de participar, sem que isso lhe traga qualquer penalidade ou prejuízo. Fica claro que as informações conseguidas através da sua participação nesta pesquisa poderão contribuir para (elaboração de monografia e/ou artigo científico e/ou fim a que a pesquisa se destina). Nós pesquisadores garantimos sua total privacidade, não sendo expostos os seus dados pessoais e/ou sua família (nome, endereço e telefone). Quanto a imagens pessoais resultantes de sua participação neste estudo, serão colhidas de forma a preservar a integridade total (sua e/ou da família) sem risco de discriminação e/ou estigmatização. Assumimos o compromisso de trazer-lhe os resultados obtidos na pesquisa assim que o estudo for concluído e aproveitamos para informar que a sua participação nesta pesquisa é totalmente voluntária, não havendo qualquer previsão de indenização ou ressarcimento de despesas, que correrão sob nossa responsabilidade. Esperando tê-lo informado de forma clara, rubricamos todas as páginas do presente documento que foi elaborado em duas vias sendo uma delas destinada ao senhor. Obs: em caso de denúncia por descumprimento do TCLE, procurar o CEP/UNIRG: Avenida Rio de Janeiro, 1585, Centro. Fone: (63) 3612-7645 ou e-mail cep@unirg.edu.br

Mateus Silva Santos, Endereço: Residencial Mirante Du Park, Quadra 306 Sul, Apartamento 803, Palmas - TO.
Felefone: (94) 98403-6094
<u>≠</u>
Maria Helena Notasco Marques; Endereço: Rua Dom Bosco, nº 526, Araguaína-TO, CEP: 77813-650; Telefone:
63)992611627.
Maria Helena Nobreo Marques

Gabriela Cardoso Maciel, Endereço: Setor Jardim Paulista, Avenida São Paulo, nº 960, Paraíso do Tocantins-TO, Telefone: (63)98460-0804.

**Jabriula Lardoso Maciel** Gabriel Rodrigues Brito, Endereco: Rua: Alameda Dianira, Quadra: 606 sul, lote

Gabriel Rodrigues Brito, Endereço: Rua: Alameda Djanira, Quadra: 606 sul, lote 21, casa3, Palmas -TO; Telefone: (63)98516-1667.

Lukas Oliveira Coelho, Endereço: Avenida Pernambuco, nº 922, Bairro: Setor Oeste, Paraíso do Tocantins-TO; Telefone: (63)99213-1158.

LUKAS COELHO

#### TERMO DE CONSENTIMENTO

Declaro que fui informado (a) dos objetivos, riscos e benefícios da pesquisa acima de maneira clara e detalhada e que compreendi perfeitamente tudo o que me foi informado e esclarecido sobre a minha participação na pesquisa. Estando de posse de minha capacidade psíquica e legal, concordo em participar do estudo de forma voluntária sem ter sido forçado e/ou obrigado e sem receber pagamento em qualquer espécie de moeda. Assino este documento em duas vias com todas as páginas por mim rubricadas.

Pedro Nolasco Marques, RG: 1.215.550 - SSP/TO.

### TERMO DE CONSENTIMENTO PARA O REPRESENTANTE LEGAL DO SUJEITO VULNERÁVEL

Eu, Micheline Rodrigues Nolasco Marques de posse de minha capacidade psíquica e legal, fui informado(a) de maneira clara e detalhada dos objetivos, riscos e benefícios da pesquisa, tendo também esclarecido para mim que todos os dados pessoais serão confidenciais. Os pesquisadores afirmaram também que em qualquer momento poderei solicitar novas informações e modificar minha decisão se assim o desejar. Como representante legal de(a) Pedro Nolasco Marques autorizo sua participação voluntária no estudo, estando ciente de que não receberei pagamento em qualquer espécie de moeda. Declaro que recebi uma cópia deste termo, que vai por mim rubricado e assinado, ficando a outra em poder dos pesquisadores.

Paraíso do Tocantins, 22 de 4 de 2023

Micheline Rodrigues Nolasco Marques, RG: 1.088.815 - SSP/RN.

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