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Research Article

Prediction of the Linear and Nonlinear Optical Properties of a Schiff Base Derivatives via DFT

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In this work, the density functional theory (DFT) calculation combined with a polarizable continuum model (PCM) was used to study the solvent media effects on the electrical and geometrical behaviors of the Schiff-base derivative, (E)-4-[($\{4-[(pyridin-2-ilmetilideno)amino]phenyl\}amino)-metil]fenol (EPAF)$. The linear and nonlinear optics parameters, as the dipole moment, linear polarizability, and first and second hyperpolarizabilities, were calculated at DFT/B3LYP/6-311+G(d) level, for the EPAF molecule in several solvent media. The dynamic behavior of the Hyper-Rayleigh Scattering (HRS) first hyperpolarizability was studied as function of the electric field frequency. The results presented for HRS first hyperpolarizability suggest that the studied crystal has good nonlinear optical properties. In addition, the gap energy was calculated from the HOMO-LUMO energies difference in several solvent media. The EPAF crystal intermolecular interactions were studied by the Hirshfeld surface analysis. The third-order electric susceptibility $\chi^{(3)}$ of the crystal EPAF was also calculated, indicating the EPAF crystal as a promising candidate for NLO applications in photonic and optoelectronic devices.

1. Introduction

In recent years, the use of organic crystals as nonlinear optical (NLO) materials has been growing motivated by the easy manipulation of these crystals, which allow controlling the material NLO properties [1]. Studies of nonlinear optical processes contribute significantly to the development of photonics [2, 3], spectroscopy [4, 5], fiber optic lines [4], optical switches [6], frequency converters [7], electrooptic modulators [8], and data transmission network, among others [9] and still in numerous applications in the medical and pharmacological sectors [10–12]. The compounds that have a high nonlinearity are of great interest for the field of nonlinear optics, since they make up the manufacture of devices that operate with high speed [9, 13].

Schiff bases are aldehyde or ketone-like compounds in which the carbonyl group is replaced by animine or azomethine group. They are widely used for industrial purposes and also exhibit a broad range of biological activities, as antibacterial, anticancer, anti-inflammatory, and antitoxic properties as stated by Lozier et al. [14]. The compounds of this group have a potential for use in optical memory device, because they form coordinating or grid polymers. Recently, a Schiff base derivative (E)-4-[({4-[(pyridin-2-ilmetilideno)amino]phenyl}amino)-metil]fenol (EPAF) with molecular formula $C_{19}H_{17}N_3O$ has been synthesized, crystallized, and structurally characterized by Faizi et al. [15]. Also, these authors used the density functional theory (DFT) at B3LYP/6-311G(d,p) level to calculate geometrical parameters of the EPAF single molecule and compare them with the

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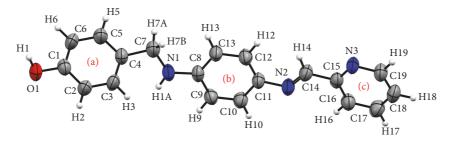


FIGURE 1: A view of the EPAF molecule showing the atom labeling scheme.

obtained X-ray results and verified a good agreement with the experimental data.

In this work, we study the effect of several solvent media on geometry and the electrical parameters of the EPAF molecule. The EPAF geometry optimization was performed in the gas phase and in various solvent media using DFT at level CAM-B3LYP/6-311+G(d). The overlap between the X-ray data for EPAF molecules with the DFT results in several solvent media has shown a big variation of the torsion angles between the rings. The solvent media effects on EPAF molecule static electrical parameters as dipole moment, linear polarizability, first hyperpolarizability, and the second hyperpolarizability are studied. The behaviors of the EPAF Hyper-Rayleigh Scattering (HRS) first hyperpolarizability in several solvent media as function of the electric field frequencies and of the static dielectric constant value were analyzed. Also the energies of the highest occupied molecular orbital (HOMO) and lowest unoccupied molecular orbital (LUMO), as the gap energies in several solvent media, have been calculated. Also a Hirshfeld surface analysis is presented to complement the EPAF crystal study of [15].

2. Methodology

The EPAF compound was crystallized in a monoclinic space group P2₁/c [15] (see Figure 1) with the following crystallographic data: a = 10.55652 (7), b = 7.9136 (6), c = 20.8153 (13), $\alpha = 90^\circ$, $\gamma = 90^\circ$, and $\beta = 118.408^\circ$, unit cell volume 1530.77 Å, with four molecules in the unit cell (Z = 4). The EPAF molecule is nonplanar, with the phenolic ((a)) and pyridine rings ((c)) being inclined to the central benzene ring ((b)).

2.1. Hirshfeld Surface. Hirshfeld surface (HS) analysis serves as a powerful tool for obtaining crucial information about the intermolecular interaction of molecular crystals. The size and shape of the Hirshfeld surface allow the visualization and investigation of both qualitative and quantitative intermolecular crystal bonds. Thus, the HS surface is obtained and two distances are defined, the distance from the point to the nearest atom off the surface (d_e) and the distance to the nearest atom within the surface (d_i). The identification of the regions of particular importance to intermolecular interactions is obtained by mapping normalized contact distance (d_{norm}), defined by

$$d_{norm} = \frac{d_i - r_i^{vdW}}{r_i^{vdW}} + \frac{d_e - r_e^{vdW}}{r_e^{vdW}},\tag{1}$$

where r_i^{vdW} and r_e^{vdW} are the van der Waals radii of the atoms. Studies of the $\pi \dots \pi$ interactions were performed analyzing the surface shape index, where this interaction type can be identified through the red and blue triangle, one facing the other [18].

2.2. Computational Details. The solvent media effects on the electric parameters of the EPAF molecule were studied employing the polarizable continuum model (PCM). First the geometry optimization calculations were performed in gas phase and after in nineteen solvent media, both method using the DFT at B3LYP/6-311+G(d) level.

In the present study, the total dipole moment, average linear polarizability $\langle \alpha \rangle$, and anisotropy of the linear polarizability $\Delta \alpha$ of the title compounds have been calculated using the following expression:

$$\mu = \left(\mu_x^2 + \mu_y^2 + \mu_z^2\right)^{1/2},\tag{2}$$

$$\langle \alpha \rangle = \frac{\alpha_{xx} + \alpha_{yy} + \alpha_{zz}}{3},\tag{3}$$

$$\Delta \alpha = 2^{-1/2} \left[\left(\alpha_{xx} - \alpha_{yy} \right)^2 + \left(\alpha_{yy} - \alpha_{zz} \right)^2 + \left(\alpha_{zz} - \alpha_{xx} \right)^2 + 6 \left(\alpha_{xz}^2 + \alpha_{xy}^2 + \alpha_{yz}^2 \right)^{1/2}.$$
(4)

The total and HRS (Hyper Rayleigh Scattering) molecular first hyperpolarizabilities are given by

$$\beta_{total} = \left[\left(\beta_{xxx} + \beta_{xyy} + \beta_{xzz} \right)^2 + \left(\beta_{yyy} + \beta_{yxx} + \beta_{yzz} \right)^2 + \left(\beta_{zxx} + \beta_{zyy} + \beta_{zzz} \right)^2 \right]^{1/2},$$
(5)

and

$$\langle \beta_{HRS} \rangle = \sqrt{\langle \beta_{ZZZ}^2 \rangle + \langle \beta_{XZZ}^2 \rangle},$$
 (6)

where *X*-direction is assumed as the fundamental light beam propagation and polarized in the *Z*-direction and $\langle \beta_{ZZZ}^2 \rangle$ and $\langle \beta_{XZZ}^2 \rangle$ are macroscopic averages calculated from the first hyperpolarizability components (β_{ijk}) [19, 20] through the

Table 1: The HRS first hyperpolarizability δ_n coefficients.

$\delta_1 = \sum \beta_{iii}^2,$	$\delta_7 = \sum \beta_{ijj} \beta_{ikk},$
$\delta_2 = \sum_{i}^{i} \beta_{iii} \beta_{ijj},$	$\delta_8 = \sum_{i,j,k} (\beta_{jij} + \beta_{jji}) (\beta_{kik} + \beta_{kki}),$
$\delta_3 = \sum_{i,j} \beta_{iii} \left(\beta_{jij} + \beta_{jji} \right),$	$\delta_9 = \sum_{i,j,k} \beta_{ijj} \left(\beta_{kik} + \beta_{kki} \right),$
$\delta_4 = \sum_{i,j} \beta_{ijj}^2,$	$\delta_{10} = \sum_{i,j,k} \left(\beta_{ijk} + \beta_{ikj}\right)^2,$
$\frac{\delta_{5} = \sum \beta_{ijj} \left(\beta_{jij} + \beta_{jji} \right),}{\delta_{5} = \sum \beta_{ijj} \left(\beta_{jij} + \beta_{jji} \right),}$	$\delta_{11} = \sum_{i,j,k} \left(\beta_{ijk} + \beta_{ikj}\right) \left(\beta_{jjk} + \beta_{jki}\right).$
$\frac{\delta_{6} = \sum_{i,j} (\beta_{jij} + \beta_{jji})^{2}}{\delta_{6}}$	i,j,k
i,j	

expressions. Hyper-Rayleigh Scattering (HRS) components (β_{iik}) [19, 20] were calculated through the expressions

$$\langle \beta_{ZZZ}^{2} \rangle = \frac{1}{210} \left(30\delta_{1} + 12 \left(\delta_{2} + \delta_{3} + \delta_{5} \right) + 6 \left(\delta_{4} + \delta_{6} \right) \right.$$

$$\left. + 2 \left(\delta_{7} + \delta_{8} + \delta_{11} \right) + 4\delta_{9} + \delta_{10} \right),$$

$$\langle \beta_{XZZ}^{2} \rangle = \frac{1}{210} \left(6 \left(\delta_{1} - \delta_{3} - \delta_{5} + \delta_{7} \right) + 8\delta_{2} + 18\delta_{4} \right.$$

$$\left. + 4\delta_{6} - \delta_{8} - 2\delta_{9} + 3\delta_{10} - \delta_{11} \right),$$

$$(7)$$

in which the coefficients δ_n are defined in Table 1. In this case, we adopted the laboratory system of reference by the X, Y, and Z coordinates, and the molecular system of reference by the x, y, and z coordinates.

The average second molecular hyperpolarizability is given by

$$\langle \gamma \rangle = \frac{1}{15} \sum_{i,j=x,y,z} \left(\gamma_{iijj} + \gamma_{ijij} + \gamma_{ijji} \right). \tag{8}$$

Using the Kleymann symmetry, the $\langle \gamma \rangle$ -value can be calculated through the following expression:

$$\langle \gamma \rangle = \frac{1}{5} \left[\gamma_{xxxx} + \gamma_{yyyy} + \gamma_{zzzz} + 2 \left(\gamma_{xxyy} + \gamma_{xxzz} + \gamma_{yyzz} \right) \right]. \tag{9}$$

All computational calculations related to the linear and nonlinear electric parameters of the compounds were performed in the *GAUSSIAN* 09 program [21].

2.3. Frontiers Molecular Orbital. In order to verify the EPAF molecule stability in the several solvent media, the highest occupied orbital energy (HOMO), which has an electrondonor character, and the lowest unoccupied molecular orbital energy (LUMO), which has an electron-acceptor character, were calculated. The molecule ability to donate or receive electrons is greater as the HOMO-value or the LUMO-value, respectively [22]. The energy difference between HOMO and LUMO gives the GAP energy, a parameter which is directly related to the stability of the compound [23].

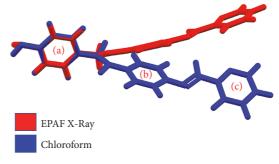


FIGURE 2: Overlap between the molecular structure determined by X-ray (in red) and in Chloroform (in blue). The ring (a) was used as anchorage.

2.4. Solvent Media. The solvent medium polarity is related to it solvability. Defining the polarity concept quantitatively is very difficult, and controversial; in this work, we will use the concept of polarity defined by the scale E_T^N (normalized transition energy) of Dimroth and Reichardt [16]. The E_T^N -value is based on the transition energy for the longest-wavelength solvatochromic absorption band of the pyridinium N-phenolate betaine dye (see Table 2). Here, solvent media with static dielectric constant (ε) value smaller than 5 will be considered as nonpolar.

All computational calculations related to the linear and nonlinear electric parameters of the compounds were performed in the *GAUSSIAN* 09 program [21].

3. Results and Discussions

3.1. Structural Commentary. The optimized geometry in gas phase and in several solvent media of the EPAF structure was analyzed through the root mean square deviation (RMSD) of the overlap between the molecular geometry determined by X-ray and the theoretical results obtained in the presence of solvent media; the H-atoms were disregarded in view of their uncertainties in X-ray position refinement. All the optimized geometries of the EPAF molecule calculated in various solvent media are in the Supplementary Materials (see Tables S1 - S20). The optimized geometry results in chloroform using the ring (a) as anchorage are presented in Figure 2; the RMSD is 0.5460 a.u. Table 3 shows the RMSD for the optimized geometry in several solvent media; as can be observed, the RMSD-values for nonpolar solvent media (argon, heptane, and toluene) are approximately 0.50 a.u. and for the others it is 0.54 a.u.

The solvent media presence causes a significant deviation of the RMSD parameter between the X-ray geometry data and the theoretical results, as can be seen in Figure 2 for the chloroform (RMSD=0.544). The C4-C7-N1-C8 and C11-N2-C14-C15 torsion angles change due to the solvent medium effects (chloroform) from -166.3° (X-ray data) to +176.24 and +176.4 (X-ray data) to -177.72°, respectively. This effect occurs due to the negative charge transfer to the hydroxyl bond to the terminal phenolic ring (C1-C6). Table S21 (Supplementary Materials) shows the

Table 2: Solvent media polarity parameter and static dielectric constant, as in ref. [16].

Solvent medium	E_T^N	ε	
Water	1.00	78.355	protic
Formamide	0.775	108.940	protic
Methanol	0.762	32.613	protic
FormicAcid	0.728	51.100	protic
n-MethylFormamide-mixture	0.722	181.560	protic
Ethanol	0.654	24.852	protic
1-Butanol	0.586	17.332	protic
Acetonitrile	0.460	35.688	aprotic
DMSO	0.444	46.826	aprotic
2-Methyl-2-Propanol	0.389	12.470	protic
Acetone	0.355	20.493	aprotic
DiChloroEthane	0.327	10.125	aprotic
DichloroMethane	0.309	8.930	aprotic
Chloroform	0.259	4.711	nonpolar
Tetrahydrofuran	0.207	7.426	aprotic
ChloroBezene	0.188	5.697	aprotic
Toluene	0.099	2.374	nonpolar
Heptane	0.012	1.911	nonpolar

TABLE 3: Comparison of selected geometric data for EPAF (°) from calculated DFT-Solvent and X-ray data.

	ε	RMSD	C6-C1-O1	C8-N1-C7	N1-C7-C4	C14-N2-C11	N2-C14-C15	N3-C15-C14
EPAF-X-Ray			120.3	123.4	112.3	121.5	122.2	115.9
Gas-phase	1.000	0.498	122.9	122.7	110.8	121.5	122.1	115.4
Argon	1.430	0.502	122.8	122.7	110.8	121.6	122.1	115.4
Heptane	1.911	0.502	122.8	122.8	110.8	121.6	122.2	115.4
Toluene	2.374	0.501	122.8	122.8	110.8	121.6	122.2	115.4
Chloroform	4.711	0.546	122.8	122.7	110.8	121.6	122.3	115.3
ChloroBezene	5.697	0.542	122.8	122.7	110.8	121.6	122.3	115.3
Tetrahydrofuran	7.426	0.544	122.8	122.7	110.7	121.6	122.4	115.3
DichloroMethane	8.930	0.544	122.7	122.8	110.7	121.6	122.4	115.3
DiChloroEthane	10.125	0.544	122.7	122.8	110.7	121.6	122.4	115.3
2-Methyl-2-Propanol	12.470	0.543	122.7	122.7	110.7	121.6	122.4	115.3
1-Butanol	17.332	0.543	122.7	122.7	110.7	121.6	122.4	115.3
Acetone	20.493	0.543	122.7	122.7	110.7	121.6	122.4	115.3
Ethanol	24.852	0.543	122.7	122.7	110.7	121.6	122.4	115.3
Methanol	32.613	0.543	122.7	122.7	110.7	121.6	122.4	115.3
Acetonitrile	35.688	0.543	122.7	122.7	110.7	121.7	122.4	115.3
DMSO	46.826	0.543	122.7	122.7	110.7	121.7	122.4	115.2
FormicAcid	51.100	0.543	122.7	122.7	110.7	121.7	122.4	115.2
Water	78.355	0.543	122.7	122.7	110.7	121.7	122.4	115.2
Formamide	108.940	0.543	122.7	122.7	110.7	121.7	122.4	115.2
n-MethylFormamide-mixture	181.560	0.542	122.7	122.7	110.7	121.7	122.4	115.2

charges of the atoms of the molecule under the effect of several solvent media using the PCM method calculated via DFT (CAM-B3LYP/6-311+G(d)) using the electrostatic model ChelpG. The ChelpG fit of the pyridine ring and hydroxyl charges are 0.04e and -0.238e both in chloroform medium (see the ChelpG charges for all atoms in Table S21 of the Supplementary Materials).

DFT results for the EPAF optimized geometry in gas phase and in several solvent media are shown in Table 3. The C7—N1—C8 angle presents a reduction of 0.59% for both polar and nonpolar solvent. In the solvent media, the C6—C1—O1 angle increased around 2.0% and the N1—C7—C4 angle remains invariable in gas phase, toluene, heptane, and argon. This angle has increased 0.08% and

ε	$\langle \gamma(0;0,0,0) \rangle$ 10^{-36}esu	$eta_{ z}(0;0,0) \ 10^{-30} ext{esu}$	$\langle \alpha(0;0) \rangle \ 10^{-24} \mathrm{esu}$	μ (D)
1.000	170	-0.230	42	2.86
1.430	220	-0.200	44	3.01
1.911	254	-0.157	46.4	3.12
2.374	280	-0.127	47.7	3.19
4.711	351	-0.611	51	3.44
5.697	367	-0.581	51.7	3.48
7.426	387	-0.602	52.6	3.53
8.930	399	-0.602	53.1	3.57
10.125	406	-0.603	53.4	3.59
12.470	416	-0.595	53.9	3.61
17.332	435	-0.605	54.7	3.67
20.493	440	-0.607	54.9	3.68
24.852	446	-0.609	55.2	3.70
32.613	448	-0.609	55.3	3.70
35.688	452	-0.609	55.5	3.72
46.826	454	-0.608	55.6	3.72
51.100	458	-0.612	55.6	3.73
78.355	461	-0.604	55.9	3.74
108.940	464	-0.604	56.0	3.75

TABLE 4: DFT static results for the EPAF electrical parameters.

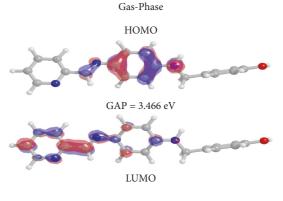
0.16% and in chloroform and in the polar solvent medium, respectively. Also the C14—N2—C11 angle increased 0.08% and 0.16% for solvent media with $\varepsilon < 37$ and $\varepsilon > 37$, respectively. The X-ray and theoretical results in the solvent media for the angles, N2—C14—C15 and N3—C15—C14, are practically the same.

3.2. HOMO-LUMO. In this section, the HOMO and LUMO results for the EPAF molecule in several solvent media are presented. The HOMO and LUMO orbital and the gap energy values in the solvent medium n-methyl formamide mixture and in gas phase are shown in Figure 3. All HOMO-LUMO figures are in the Supplementary Materials (see Figures S1 – S18).

As can be seen in Figure 4, the gap energy decreases with the increasing of the dielectric constant value of the solvent medium. The gap energy (E_G) goes from 3.466 eV (ε =1.0) to 3.349 eV (ε =182.4) indicating that the maximum absorption wavelengths (λ_{max} = hc/E_G) fall into the ultra violet (UV) region. The gap energy is an important parameter that determines the chemical properties of a molecule as the kinetic stability, chemical reactivity, optical polarizability, etc. Larger values of the gap energy are directly related to greater stability of the molecule.

All values of HOMO-LUMO and gap energy of the EPAF molecule calculated in various solvent media are in the Supplementary Materials (see Tables S22).

3.3. Nonlinear Optical Properties in Solvent Medium. Table 4 shows the DFT/B3LYP/6-311+G(d) static results for the total dipole moment (μ_{tot}), the isotropic linear polarizability $\langle \alpha(0;0) \rangle$, the first hyperpolarizability parallel to the dipole



n-MethylFormamide-mixture

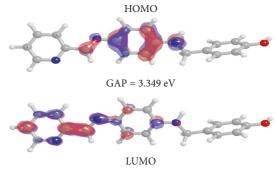


FIGURE 3: HOMO and LUMO in n- methyl formamide mixture.

moment $(\beta_{||}z(0;0,0))$, and the second hyperpolarizability $\langle \gamma(0;0,0,0) \rangle$ both in gas-phase and in several solvent media. As can be noted, the values of μ , $\langle \alpha(0;0) \rangle$ and $\langle \gamma(0;0,0,0) \rangle$ increase with the increasing of the ε -values (Figures 5(a), 5(b),

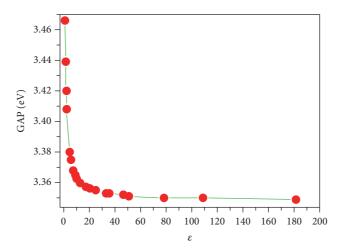


Figure 4: Gap energy as function of the dielectric constant values.

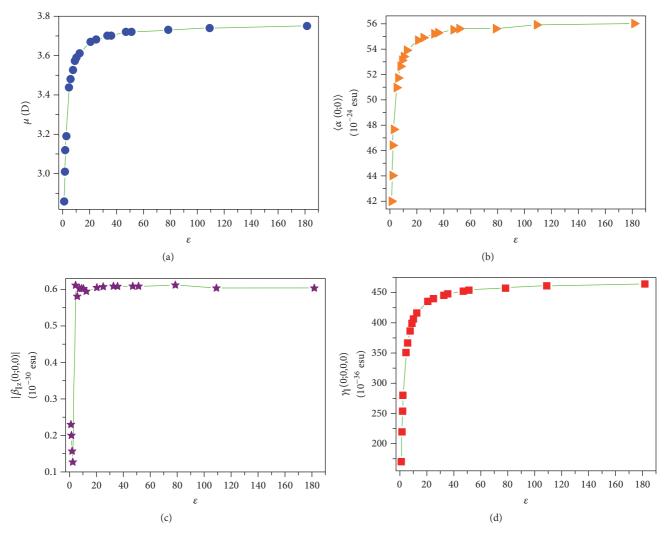


Figure 5: DFT static results for the EPAF electric parameter as function of the $\epsilon\textsc{-value}.$

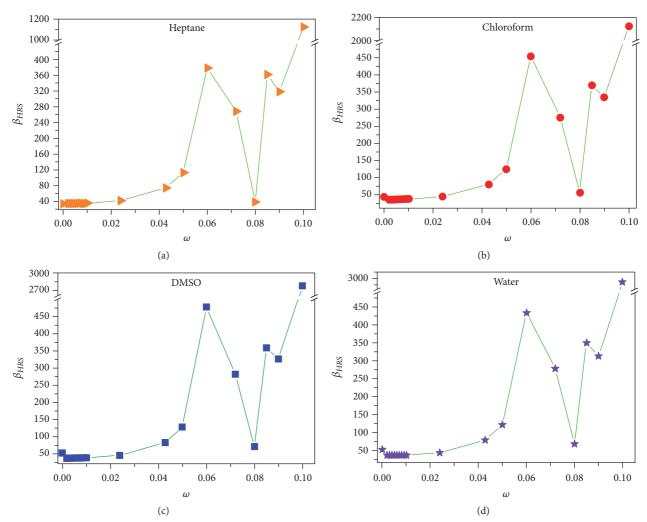


FIGURE 6: Dynamic HR Scattering first hyperpolarizability as function of the field frequency (ω) in a.u..

and 5(d)). In all solvent media, the $\beta_{||}z(0;0,0)$ -values are negative, and for $\varepsilon \ge 5$ they oscillate around $0.6 \times 10^{-30} esu$; however, the $|\beta_{||}z(0;0,0)|$ increases with the increasing of the ε -values, as can be seen in Figure 5(c).

From Table 4, it can be verified that the first and second hyperpolarizabilities present the greater variation due to the solvent medium presence, from heptane (ε =1.911) to n-methyl formamide mixture (ε =181.56); the values of $|\beta_{\parallel}z(0;0,0)|$ and $\langle\gamma(0;0,0,0)\rangle$ present a percentage increasing of 285% and 83% (see Figures 5(c) and 5(d)). However, the character, protic or aprotic, seems to not influence the results, but the nonpolar solvent media present a smaller value of the total dipole moment, and this can be understood taking into account that the polar solvent media the OH present greater increasing of the negative charge, and similar effect can be verified for other electric parameters (Figure 5).

The DFT static results for the β_{HRS} -values obtained from (6) in a solvent medium are several orders of magnitude higher than the values of $\beta_{||z}(0;0,0)$. While as shown in Table 3 for the solvent media the absolute values of $\beta_{||z}(0;0,0)$ go from 0.2 to 0.6 (in units of $10^{-30}esu$), $\beta_{HRS}(0,0,0)$ go from 24.4 to 53.0 (in units of $10^{-30}esu$). Table S23 of the

Supplementary Material shows the static and dynamic β_{HRS} values for the gas phase and all the solvent media. The behaviors of the static and dynamic HRS first hyperpolarizability in the solvent media are similar; therefore, in Figure 6, the results for β_{HRS} as function of the field frequency (ω) are shown for only four solvents, namely, heptane (ε =1.911, nonpolar), chloroform (ε =4.71; larger RMSD parameter), DMSO (ε =46.8; aprotic), and water (ε =78.4; E_T^N =1). From Figure 6 and from Table S23, two resonant regions can be identified in the region 0.06 $a.u. < \omega < 0.09a.u.$ So we will work away from the transition region, because in this region it would be complicated for the experiment to work due to deleterious effects. We will study β_{HRS} on the frequency of ω =0.0428 a.u.(1064 nm).

We can also highlight that the protic or aprotic character and also the polarity of the solvent did not alter the HRS first hyperpolarizability behavior as a function of the frequency. The β_{HRS} -values increase with the increasing of the dielectric constant value (or with the decreasing of the gap energy) as can be seen in Figure 7. This effect can be explained by the increase of the hydroxyl group charge, which acts as an electrons receptor of the benzene ring, decreasing the

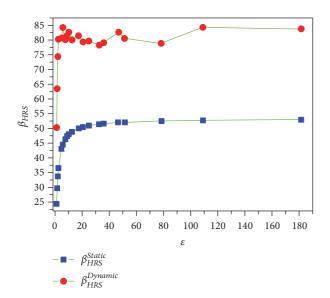


FIGURE 7: Static and dynamic (1064nm) HRS first hyperpolarizability as function of the ε -value.

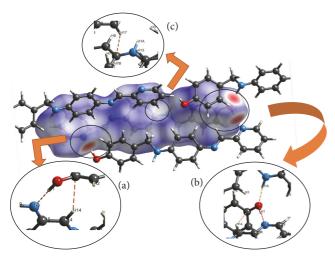


FIGURE 8: View of EPAF d_{norm} surface showing the interactions O1-H1...N3 e C14-H14...C1 (a), N1-H1A...O, O1-H1...N3, and C14-H14...C1 (b), and C17-H17...C8 (c).

electron density and the resonant stability, making it difficult to the electrophilic attack. In this case, the OH group acts as a disabling or metamanager. The values of β_{HRS} in chloroform are 3 to 5 times higher than the 2,4,6-tris(benzylamino)-1,3,5-triazine [24] derivatives also measured in chloroform.

3.4. Hirshfeld Surface Analyses. The molecular structure of (E)-4-[($\{4-[(piridin-2-ilmetilideno) amino]feni]\}$ amino)-metil]fenol (EPAF), C₁₉H₁₇N₃O, shows H bonds of the type π - π , C-H... π , C-H...C, O-H...N e N-H...O which contribute to the structure stability. Figure 8 shows the normalized Hirshfeld surface. Figure 8(a) shows the interactions Ol-H1...N3 (D...A = 2.796 Å, D-H...A = 178.75°) and C14-H14...C1 (D...A = 3.640 Å, D-H...A = 146.17°). Figure 8(b) identifies the interactions N1-H1A...O1 (D...A = 2.982 Å,

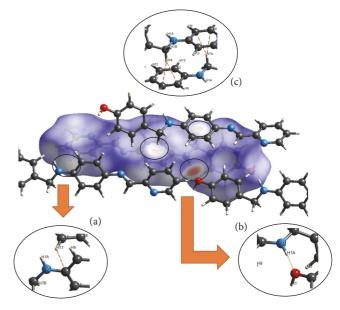


FIGURE 9: View of EPAF d_{norm} surface showing the interactions (a) O1-H1...N3 and C14-H14...C1, (b) N1-H1A...O, O1-H1...N3, and C14-H14...C1, and (c) C17-H17...C8.

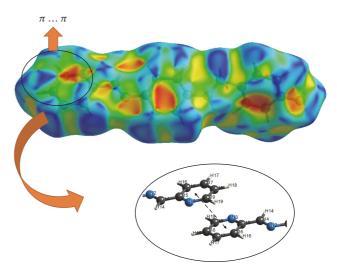


FIGURE 10: View of the shape index surface for EPAF showing the highlighted $\pi...\pi$ interaction.

D-H...A = 170.07°), O1-H1...N3 (D...A = 2.796 Å, D-H...A = 178.75°), and C14-H14...C1 (D...A = 3.640 Å, D-H...A = 146.17°). Figure 8(c) shows the interactions C17-H17...C8 (D...A = 3.655 Å, D-H...A = 144.90°).

Figure 9(a) shows the interaction C17-H17...C8 (D...A = 3.655 Å, D-H...A = 144.90°), the same shown in Figure 9(c). The interaction N1-H1A...O1 (D...A = 2.982 Å, D-H...A = 170.07°) is shown in Figure 9(b) and the interactions C7-H7A...C9 (D...A = 3.723 Å, D-H...A = 151.80°) and C7-H7A...C10 (D...A = 3.681 Å, D-H...A = 156.93°) are shown in Figure 9(c).

Figure 10 shows the shape index surface for the EPAF, where it is possible to see two triangles, one blue and the other

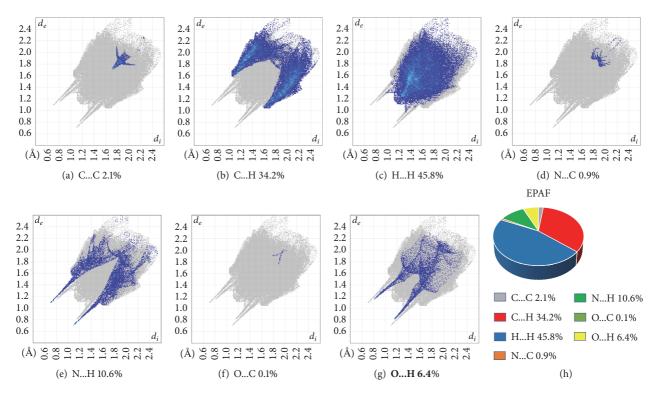


FIGURE 11: Fingerprint showing the 2D interactions of EPAF crystal.

red, one facing the other, representing the π - π interaction (π ... π = 3,779 Å).

The fingerprints plots were used to analyze the amount of intermolecular interactions according to the nature and percentage of the interaction. Figures 11(a)–11(g) show the fingerprints for the EPAF; as we can see, the interaction with greater percentage in the whole crystal is the H..H with 45.8% of the interactions followed by the C...H with 34.2% of the interactions.

3.5. Nonlinear Optical Properties of the EPAF Crystal. The supermolecular (SM) method was used to simulate the EPAF crystal; details of the SM approach are given in the work of C. Valverde et al. [25]. To employ the SM approach, we have used the (x-ray) experimental geometry of the asymmetric unit of the EPAF. The packaging effects of the EPAF were modeled by constructing a bulk with the unit cells in a 9×9×9 configuration. Each unit cell contains four asymmetric units, totaling 2916 molecules in the monoclinic system, each atom surrounding the EPAF molecule (blue) being treated as a point charge (see scheme in Figure 12).

For the calculation, the average linear polarizability $\langle \alpha \rangle$ can be related to the linear refractive index (n) of the crystal via the Clausius-Mossotti relation, given by [26]:

$$\frac{n^2 - 1}{n^2 + 2} = \frac{4\pi N}{3} \left\langle \alpha \right\rangle,\tag{10}$$

where N stands for the number of molecules per unit cell volume. The experimental quantity, the third-order electric

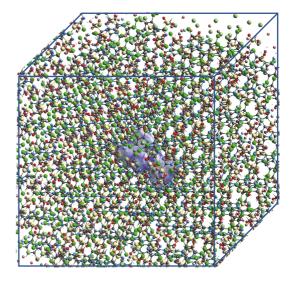


Figure 12: Scheme of the bulk representing the embedded molecule.

susceptibility $\chi^{(3)}$, is related to the second hyperpolarizability by

$$\chi^{(3)} = \frac{f^4 N \langle \gamma \rangle}{\epsilon_o V},\tag{11}$$

where N is the number of molecules per unit cell volume (V) and *f* is the Lorentz local field correction factor given by

$$f = \frac{\left(n^2 + 2\right)}{3}.\tag{12}$$

Table 5: The values of the linear polarizability (in 10^{-24} esu) were calculated CAM – B3LYP/6 – 311 + +G(d, p) and for values of the second hyperpolarizability in (in 10^{-36} esu) we used CAM – B3LYP/6 – 311 + +G(d, p) for EPAF embedded molecule ($\lambda = 532 \ nm$).

$\langle \alpha(-\omega,\omega) \rangle$	$\langle \gamma(0;0,0,0) \rangle$	$\langle \gamma(-\omega;\omega,0,0) \rangle$	$\langle \gamma(-\omega;\omega,\omega,-\omega) \rangle$
46.50	174.00	628.195	1082.39

Table 6: DFT/CAM-B3LYP/6-311++G(d, p) results for the linear refractive index and third-order nonlinear susceptibility ($10^{-22} \ m^2/V^2$) for the case dynamic ($\lambda = 532 \ nm$) of the EPAF crystal.

Sample	$n(\omega)$	$\chi^{(3)}\left(-\omega;\omega,\omega,-\omega\right)$
EPAF (this work)	2.02	6793.08
(2E)-3-(3-methylphenyl)-1-(4-nitrophenyl)prop-2-en-1-one (3MPNP) [17]	1.418	277.1
(2E)-1-(4-bromophenyl)-3-[4-methylsulfanyl) phenyl]prop-2-en-1-one (4Br4MSP) [17]	1.363	2.30
(2E)-1-(3-bromophenyl)-3-[4(methylsulfanyl) phenyl]prop-2-en-1-one (3Br4MSP) [17]	1.365	1.99
(2E)-3[4(methylsulfanyl)phenyl]-1-(4-nitrophenyl)prop-2-en-1-one (4N4MSP) [17]	1.360	2.37

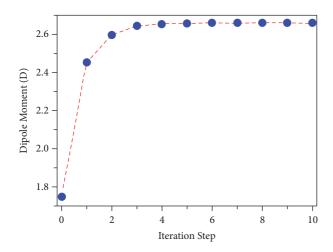


FIGURE 13: Evolution of values of the dipole moment of the EPAF crystal with the respective iteration numbers.

The EPAF crystal presents a rapid convergence in the dipole moment through the SM approach [25] (see Figure 13).

The quantum molecular calculations were performed with the Gaussian 09 program package [21]. For the calculation of $\chi^{(3)}(-\omega;\omega,\omega,-\omega)$, we used a small frequency [27, 28], estimate of the second frequency-dependent hyperpolarizability $(\langle \gamma(-\omega;\omega,\omega,-\omega)\rangle \cong 2\langle \gamma(-\omega;\omega,0,0)\rangle - \langle \gamma(0;0,0,0)\rangle)$ associated to a nonlinear optical process [29] of the intensity dependent refractive index (IDRI) from dc-K results. Table 5 shows the values of the EPAF when we consider the environment via the SM.

Table 6 presents the DFT prediction and the experimental results of the macroscopic quantities studied. The values of EPAF crystal are therefore 24.51, 2953, 3413, and 2866 times higher, respectively, than the values found experimentally by Prabhu et al. [17] (see Table 6).

The high value of the third-order electric susceptibility $\chi^{(3)}$ indicates the crystal as a promising candidate for NLO applications in photonic and optoelectronic devices.

4. Conclusions

In this work, using the DFT/B3LYP/6-311+G(d) calculation level, the effects of several solvent media on the geometric and the electric parameters of a Schiff base derivative (E)-4-[({4-[(piridin-2-ilmetilideno)amino]fenil}amino)metil]fenol (EPAF) [15] were studied. A Hirshfeld surface analysis is presented to complement the EPAF crystal study of [15]. The fingerprints plots show that the interaction with greater percentage in the whole crystal is the H..H with 45.8% of the interactions followed by the C...H with 34.2% of the interactions. The geometry optimization was performed in the gas phase and in various solvent media. The overlap between the X-ray data for the EPAF and the DFT results in several solvent media showed a significant deviation of the RMSD parameter. Also in chloroform, the torsion angles C4 - C7 - N1 - C8 and C11 - N2 - C14 - C15 change from -166.3 (X-ray data) to +176.24 and of +176.4 (X-ray data) to -177.72°, respectively. This effect occurs for all solvents studied here and was due to the negative charge transfer to the hydroxyl bond to the terminal phenolic ring (C1–C6).

The NLO properties of the EPAF molecule in several solvent media were studied, and the static electric parameters values of the total dipole moment, linear polarizability, first hyperpolarizability, and the second hyperpolarizability increase with the increasing of the dielectric constant value of the solvent medium. The dispersion relation of the HRS first hyperpolarizability showed two resonant regions for $\omega > 0.06$ a.u.. The gap energies were calculated from the HOMO-LUMO energy difference in several solvent media calculated and the values go from 3.466 eV (ε =1.0) to 3.349 eV (ε =182.4).

The p-nitroaniline (pNA) is used as a critical parameter for comparative studies because it has good NLO properties. The first-order dynamic hyperpolarizability of the molecule p-nitroaniline dissolved in chloroform is $\beta_{HRS}^{PNA}=17.5\times 10^{-30}cm^3/esu$ at 1064 nm [30, 31]; the EPAF in chloroform is $\beta_{HRS}^{EPAF}=80.75\times 10^{-30}cm^3/esu$, about 5 times greater than PNA.

The value of the third-order electric susceptibility $\chi^{(3)}$ of the EPAF crystal is $(6793.08 \times 10^{-22} \ m^2/V^2)$ for the dynamic

case ($\lambda = 532 \text{ nm}$), thus 24.51 times higher than the values found experimentally by Prabhu et al. [17].

Thus, based on the magnitude of the dynamic HRS first hyperpolarizability in chloroform and the third-order electric susceptibility of the EPAF crystal, we can conclude that the EPAF offers potential applications to the development of materials with NLO properties.

Data Availability

No data were used to support this study.

Conflicts of Interest

The authors declare that they have no conflicts of interest.

Acknowledgments

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Supplementary Materials

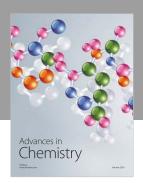
All Tables S1 - S23 are in the Supplementary Materials. (Supplementary Materials)

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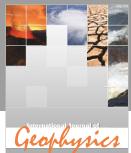
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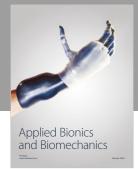
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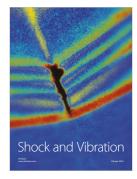
















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